

JC06 Rec'd PCT/PTO 13 OCT 2005 PCT

PTO/SB/21 (09-04)

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/542,997
Filing Date	July 21, 2005
First Named Inventor	K. Ohkouchi
Art Unit	tba
Examiner Name	tba
Total Number of Pages in This Submission	10
Attorney Docket Number	3153 USOP

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> PTO Form PTO/SB/08A (5 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> Copies of 25 references
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 500799.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name			
Signature	<i>Elaine M. Ramesh</i>		
Printed name	Elaine M. Ramesh		
Date	10/13/05	Reg. No.	43,032

CERTIFICATE OF TRANSMISSION/MAILING

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:	10/542,997	Art Unit:	tba
Filed:	July 21, 2005	Examiner:	tba
1 st Inventor:	K. Ohkouchi	Allowed:	
For:	Process for Producing Coated Preparation	Batch:	
Atty. Dkt. No.	3153 USOP	Paper No.:	

Information Disclosure Statement

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, Applicants' request consideration of the references listed on the attached form PTO-1449 under §1.97(b).

This statement is being timely filed before the mailing of a first Office Action on the merits. No fee is required for this filing.

Should the Examiner believe that a conference with Applicants' attorney would advance prosecution of this application, the Examiner is respectfully invited to call Applicants' attorney.

Respectfully submitted,

Dated: October 13, 2005

(847) 383-3391
(847) 383-3372

Elaine M. Ramesh
Elaine M. Ramesh, Ph.D., Reg. No. 43,032
Mark Chao, Ph.D., Reg. No. 37,293
Attorney for Applicants
Customer No. 23115

Takeda Pharmaceuticals North America, Inc.
Intellectual Property Department
475 Half Day Road
Lincolnshire, IL 60069 USA

Certificate of Mailing under 37 CFR 1.10

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 5

Complete if Known

Application Number	10/542,997
Filing Date	July 21, 2005
First Named Inventor	K. Ohkouchi
Art Unit	tba
Examiner Name	tba

Attorney Docket Number 3153 USOP

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 2004/0106660	06-03-2004	U. Kositprapa, et al.	
		US- 2004/0161462	08-19-2004	U. Kositprapa, et al.	
		US- 2004/0086562	05-06-2004	D. Shanghvi, et al.	
		US- 2003/0187074	10-02-2003	J. Hussain, et al.	
		US- 6,524,621	02-25-2003	Aeropharm Technology Inc.	
		US- 6,403,121	06-11-2002	Aeropharm Technology Inc.	
		US- 2002/0004515	01-10-2002	S. Smith	
		US- 6,291,495	09-18-2001	R. Rieveley	
		US- 6,153,632	11-28-2000	R. Rieveley	
		US- 6,403,121	06-11-2002	Aeropharm Technology Inc.	
		US- 6,168,806	01-02-2001	F. Lee, et al.	
		US- 6,692,769	02-17-2004	Tanabe Seiyaku Co., Ltd.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number ⁴ " Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		WO 95/07694	03-23-1995	Sankyo Company Limited		
		EP 0 749 751	12-27-1996	Takeda Chemical Industries		
		WO 2004/030700 (Abstract Only)	04-15-2004	Takeda Chemical Industries		✓
		WO 00/16776 (Abstract Only)	03-30-2000	Takeda Chemical Industries		✓
		WO 2004/006921 (Abstract Only)	01-22-2004	Takeda Chemical Industries		✓
		WO 00/24423 (Abstract Only)	05-04-2000	Tanabe Seiyaku Co., Ltd.		✓

Examiner Signature		Date Considered
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Sheet

2

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
	JP	51079716 (Abstract Only)	12-28-1974	Shinetsu Chemical Industries	
	WO	98/53803	12-03-1998	Astra Aktiebolag	
	WO	96/36338	11-21-1996	Procter & Gamble Company	
	WO	01/82873	11-08-2001	Aeropharm Technology, Inc.	
	WO	01/35940	05-25-2001	SmithKline Beecham P.L.C.	
	WO	99/47128	09-23-1999	Bristol-Myers Squibb Co.	

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Sheet 3 of 5

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 01/37808	05-31-2001	Lipocene, Inc.		
		WO 01/82875	11-08-2001	Aeropharm Technology, Inc.		
		WO 01/35941	05-25-2001	SmithKline Beecham P.L.C.		
		WO 98/57634	12-23-1998	SmithKline Beecham P.L.C.		
		WO 2004/069229	08-19-2004	IPCA Laboratories Limited		
		WO 2004/045608	06-03-2004	Ranbaxy Laboratories		

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Sheet 4 of 5

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 03/005995	01-23-2003	Aeropharm Technology, Inc.		
		WO 02/04024	01-17-2002	R. Rieveley		
		WO 00/28990	05-25-2000	SmithKline Beecham P.L.C.		
		WO 00/28989	05-25-2000	SmithKline Beecham P.L.C.		
		WO 03/105809	12-24-2003	Themis Laboratories Limited		
		EP 1 561 472	08-10-2005	Takeda Pharmaceutical Co.		

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